



Please type or print in ink

NAME (LAST)	(FIRST)	(MIDDLE)	DAYTIME TELEPHONE NUMBER	
Harkey	Diane	L		
MAILING ADDRESS (Business Address Acceptable)	STREET	CITY	STATE	ZIP CODE
			OPTIONAL: E-MAIL ADDRESS	

**1. Office, Agency, or Court**

Name of Office, Agency, or Court:

State Legislature

Division, Board, District, if applicable:

73rd Assembly District

Your Position:

California State Assemblywoman

► If filing for multiple positions, list additional agency(ies)/ position(s). (Attach a separate sheet if necessary.)

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

**2. Jurisdiction of Office (Check at least one box)**

☒ State

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Multi-County \_\_\_\_\_

☐ Other \_\_\_\_\_

**3. Type of Statement (Check at least one box)**

☐ Assuming Office/Initial

Date: \_\_\_\_\_

☒ Annual: The period covered is January 1, 2009, through December 31, 2009.

-or-

☐ The period covered is \_\_\_\_\_, through December 31, 2009.

☐ Leaving Office Date Left: \_\_\_\_\_ (Check one)

☐ The period covered is January 1, 2009, through the date of leaving office.

-or-

☐ The period covered is \_\_\_\_\_, through the date of leaving office.

☐ Candidate Election Year: \_\_\_\_\_

**4. Schedule Summary**

► Total number of pages including this cover page: 13

► Check applicable schedules or "No reportable interests."

I have disclosed interests on one or more of the attached schedules:

Schedule A-1 ☒ Yes - schedule attached  
*Investments (less than 10% Ownership)*

Schedule A-2 ☒ Yes - schedule attached  
*Investments (10% or Greater Ownership)*

Schedule B ☒ Yes - schedule attached  
*Real Property*

Schedule C ☒ Yes - schedule attached  
*Income, Loans, & Business Positions (Income Other Than Gifts and Travel Payments)*

Schedule D ☒ Yes - schedule attached  
*Income - Gifts*

Schedule E ☐ Yes - schedule attached  
*Income - Gifts - Travel Payments*

-or-

☐ No reportable interests on any schedule

**5. Verification**

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: 2-26-10

Signature: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

Diane L. Harkey

NAME OF BUSINESS ENTITY  
National Financial Lending, LLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Membership Interests in Mortgage Pool LLC

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☒ Partnership      ☒ Income of \$0 - \$500  
                                          ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/09      \_\_\_\_\_/\_\_\_\_\_/09  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income of \$0 - \$500  
                                          ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/09      \_\_\_\_\_/\_\_\_\_\_/09  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
Bank of America

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Own stock in lending institution

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income of \$0 - \$500  
                                          ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/09      \_\_\_\_\_/\_\_\_\_\_/09  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income of \$0 - \$500  
                                          ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/09      \_\_\_\_\_/\_\_\_\_\_/09  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income of \$0 - \$500  
                                          ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/09      \_\_\_\_\_/\_\_\_\_\_/09  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income of \$0 - \$500  
                                          ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/09      \_\_\_\_\_/\_\_\_\_\_/09  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name  Diane L. Harkey

**1. BUSINESS ENTITY OR TRUST**

Point Center Financial, Inc.

Name

7 Argonaut, Aliso Viejo, CA 92656

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

Mortgage Lending/Real Estate Asset Management

**FAIR MARKET VALUE**

IF APPLICABLE, LIST DATE:

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

**NATURE OF INVESTMENT**

☐ Sole Proprietorship

☐ Partnership

☒ Corporation

Other

YOUR BUSINESS POSITION NONE (Comm Property Interest)

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☐ \$0 - \$499

☐ \$10,001 - \$100,000

☐ \$500 - \$1,000

☒ OVER \$100,000

☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)**

See attached

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☒ INVESTMENT

☐ REAL PROPERTY

National Financial Lending, LLC

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

Mortgage Pool Investment

Description of Business Activity or

City or Other Precise Location of Real Property

**FAIR MARKET VALUE**

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☒ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

**NATURE OF INTEREST**

☐ Property Ownership/Deed of Trust

☐ Stock

☐ Partnership

☐ Leasehold  
Yrs. remaining

☒ Other

LLC Member Interests

☒ Check box if additional schedules reporting investments or real property are attached

**1. BUSINESS ENTITY OR TRUST**

The Harkey Family Trust

Name

7 Argonaut, Aliso Viejo, CA 92656

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

**FAIR MARKET VALUE**

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

**NATURE OF INVESTMENT**

☐ Sole Proprietorship

☐ Partnership

☐ Other

YOUR BUSINESS POSITION

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☒ \$0 - \$499

☐ \$10,001 - \$100,000

☐ \$500 - \$1,000

☐ OVER \$100,000

☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)**

NONE

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT

☐ REAL PROPERTY

NONE

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or

City or Other Precise Location of Real Property

**FAIR MARKET VALUE**

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

**NATURE OF INTEREST**

☐ Property Ownership/Deed of Trust

☐ Stock

☐ Partnership

☐ Leasehold  
Yrs. remaining

☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

Comments: See attached for additional items for boxes #3 and #4

**SCHEDULE B**  
**Interests in Real Property**  
(Including Rental Income)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name Diane L. Harkey
----------------------------------------------------------------------------------------------

► STREET ADDRESS OR PRECISE LOCATION  
 33791 Violet Lantern  
 CITY  
 Dana Point, CA 92629

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

ACQUIRED      /      / 09 DISPOSED      /      / 09

NATURE OF INTEREST  
☒ Ownership/Deed of Trust ☐ Easement  
☐ Leasehold      Yrs. remaining ☐ Other     

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
☐ \$0 - \$499 ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 NONE

► STREET ADDRESS OR PRECISE LOCATION  
 34021/23 Copper Lantern  
 CITY  
 Dana Point, CA 92629

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

ACQUIRED      /      / 09 DISPOSED      /      / 09

NATURE OF INTEREST  
☒ Ownership/Deed of Trust ☐ Easement  
☐ Leasehold      Yrs. remaining ☐ Other     

IF RENTAL PROPERTY, GROSS INCOME RECEIVED  
☐ \$0 - \$499 ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.  
 NONE

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF LENDER  
 INTEREST RATE      % ☐ None TERM (Months/Years)       
 HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000  
☐ Guarantor, if applicable

NAME OF LENDER\*  
 ADDRESS (Business Address Acceptable)  
 BUSINESS ACTIVITY, IF ANY, OF LENDER  
 INTEREST RATE      % ☐ None TERM (Months/Years)       
 HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000  
☐ Guarantor, if applicable

Comments: \_\_\_\_\_

**SCHEDULE B**  
**Interests in Real Property**  
(Including Rental Income)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

Diane L. Harkey

► STREET ADDRESS OR PRECISE LOCATION

33931 Copper Lantern

CITY

Dana Point, CA 92629

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000

☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust

☐ Easement

☐ Leasehold

Yrs. remaining

☐ Other

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☐ \$0 - \$499

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☒ \$10,001 - \$100,000

☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

NONE

► STREET ADDRESS OR PRECISE LOCATION

33941 Silver Lantern

CITY

Dana Point, CA 92629

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust

☐ Easement

☐ Leasehold

Yrs. remaining

☐ Other

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☐ \$0 - \$499

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☒ \$10,001 - \$100,000

☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

NONE

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

☐ Guarantor, if applicable

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

☐ Guarantor, if applicable

Comments:

**SCHEDULE B**  
**Interests in Real Property**  
(Including Rental Income)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

Diane L. Harkey

► STREET ADDRESS OR PRECISE LOCATION

1321 Calle Avanzado

CITY

San Clemente, CA 92673

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/09  
ACQUIRED

\_\_\_\_/\_\_\_\_/09  
DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust

☐ Easement

☐ Leasehold

Yrs. remaining

☐ \_\_\_\_\_

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☒ \$0 - \$499

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

NONE

► STREET ADDRESS OR PRECISE LOCATION

7 Argonaut

CITY

Aliso Viejo, CA 92656

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/09  
ACQUIRED

\_\_\_\_/\_\_\_\_/09  
DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust

☐ Easement

☐ Leasehold

Yrs. remaining

☐ \_\_\_\_\_

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☐ \$0 - \$499

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☒ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

Point Center Financial, Inc.

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

☐ Guarantor, if applicable

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

☐ Guarantor, if applicable

Comments:

**SCHEDULE B**  
**Interests in Real Property**  
 (Including Rental Income)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
 Diane L. Harkey

► STREET ADDRESS OR PRECISE LOCATION

31212 Paseo Mira Loma

CITY

San Juan Capistrano, CA 92675

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust

☐ Easement

☐ Leasehold

Yrs. remaining

☐

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☐ \$0 - \$499

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☒ \$10,001 - \$100,000

☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

Kimberly Selby

► STREET ADDRESS OR PRECISE LOCATION

75.98% Undivided Ownership - 130 Lot Sub-Division

CITY

San Bernardino County APN #0467-012-06-000

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000

☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/09

ACQUIRED

\_\_\_\_/\_\_\_\_/09

DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust

☐ Easement

☐ Leasehold

Yrs. remaining

☐

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☒ \$0 - \$499

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

NONE - Not Applicable

\* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

☐ Guarantor, if applicable

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

\_\_\_\_\_% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

☐ Guarantor, if applicable

Comments:

(Other than Gifts and Travel Payments)

Name

Diane L. Harkey

## 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

## YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

☐ \$500 - \$1,000      ☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's or registered domestic partner's income

☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other \_\_\_\_\_ (Describe) \_\_\_\_\_

☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☒ Other Member share of LLC profits  
(Describe)

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

INTEREST RATE

TERM (Months/Years)

☐ None

BUSINESS ACTIVITY, IF ANY, OF LENDER

SECURITY FOR LOAN

☐ None ☐ Personal residence

HIGHEST BALANCE DURING REPORTING PERIOD

☐ Real Property \_\_\_\_\_ Street address \_\_\_\_\_

☐ \$500 - \$1,000

☐ \$7,001 - \$10,000

\$70,000 - \$100,000

☐ OVER \$100,000

**Guarantee!**

☐ Other \_\_\_\_\_ (Describe) \_\_\_\_\_

Comments:



# SCHEDULE D Income – Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name  Diane Harkey

► NAME OF SOURCE  
Counsel for Legislative Excellence

ADDRESS (Business Address Acceptable)  
2150 River Plaza Drive, #150, Sacramento CA 95833

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Joint Legislative Summit

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
12 / 1 / 09	\$ 311.74	jacket, bag, bracelet
	\$	
	\$	

► NAME OF SOURCE  
Various natural resource and environmental entities

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
natural resource and environmental issues

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1 / 28 / 09	\$ **86.54	Reception
	\$	
	\$	

► NAME OF SOURCE  
CCTA

ADDRESS (Business Address Acceptable)  
1001 K Street, Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Reception at the Sheraton Grand

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
5 / 4 / 09	\$ 63.23	reception
	\$	
	\$	

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

► NAME OF SOURCE  
various healthcare/life science entities

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
healthcare and life sciences

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1 / 28 / 09	\$ *216.88	reception/dinner
	\$	
	\$	

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

Comments: \*sponsored by 13 entities, all of which paid less than \$50 per person for the event costs.  
\*\*13 entities sponsored this event, each reporting a gift of \$6.65 per attendee

**Schedule D – Income /Gifts**

**California Form 700**

**Diane Harkey 3-1-10**

Name of Source: Counsel for Legislative Excellence

Address (Business Address Acceptable): 2150 River Plaza Drive, #150, Sacramento, CA 95833

Business Activity, If Any, Of Source: Joint Legislative Summit

Date (mm/dd/yy): 12/01/09

Value: \$311.74

Description of Gifts: Jacket, bag bracelet.

Name of Source: CCTA

Address (Business Address Acceptable): 1001 K Street, Sacramento, CA 95814

Business Activity, If Any, Of Source: Reception at the Sheraton Grand

Date (mm/dd/yy): 05/04/09

Value: \$63.23

Description of Gifts: Reception

Name of Source: Various healthcare/life science industries

Address (Business Address Acceptable):

Business Activity, If Any, Of Source: Healthcare and life sciences

Date (mm/dd/yy): 01/28/09

Value: \$216.88\*

Description of Gifts: Reception/dinner

Schedule D – Income /Gifts

California Form 700

Diane Harkey 3-1-10

Name of Source: Various natural resource and environmental entities

Address (Business Address Acceptable):

Business Activity, If Any, Of Source: Natural resource and environmental entities

Date (mm/dd/yy): 01/28/09

Value: \$86.54\*\*

Description of Gifts: Reception

\*sponsored by 13 entities, all of which paid less than \$50 per person for the event costs.

\*\*13 entities sponsored this event, each reporting a gift of \$6.65 per attendee.

RE: Diane L. Harkey

**#3 List the name of each reportable single source of income of \$10,000 or more**

D'Orsay International  
Keen Medical Group, Inc.  
California Pacific Home Loans dba Cal-Pac Funding  
Josh R. Pukini  
National Financial Lending, LLC  
Buckeye Investors, LLC  
Coral Blue Lot Investments, LLC  
Spanish & Colonial Ladera Ranch, LLC  
Point Center Mortgage Fund I, LLC  
El Jardin Atascadero Investments, LLC  
Estancia Atascadero Investments, LLC  
Altamonte Springs Church Investments, LLC  
Mission Ridge Ladera Ranch, LLC  
Andalucia Investors, LLC  
Temecula Parkway BK Investments, LLC  
Winchester OTB Temecula, LLC  
16<sup>th</sup> Street San Diego Investors, LLC  
Champagne Blvd Investors, LLC  
BK AV Investments, LLC  
BK Newport Investments, LLC  
Palm Springs Country Club Investments, LLC

**#4 Interests in Real Property held by the Business Entity or Trust**

All of the following INVESTMENTS are wholly owned, or undivided interests in loans secured by Deeds of Trust. FAIR MARKET VALUE is designated as follows:

A = \$2,000 - \$10,000    B = \$10,001 - \$100,000    C = \$100,001 - \$1,000,000    D = Over \$1 million

<u>Location of Property securing the loan</u>	<u>Fair Market Value</u>
<u>Lots 59-61; 63-70; 72-74; 76-78,</u>	
<u>Tract 2525-1, Atascadero, CA</u>	<u>B</u>
** 14.22 Acres, Van Buren Street, Grand Terrace, CA	C
** 391 Acres, Volta Road and I5, Los Banos, CA	C
** Calhoun & 54 <sup>th</sup> , Coachella, CA	D
** Winano & 7 <sup>th</sup> , Victorville, CA	D
3415 6 <sup>th</sup> Avenue, San Diego, CA	C
** 26 Lots, Apple Valley, CA	D
** 2 Lots, Phase 3, Ladera Ranch, CA	C
24490, 24500, 24520 Washington Avenue, Murrieta, CA	B
** Vacant Land, Beaumont, CA	D
** Jack Rabbit Trail & Hwy 60, Beaumont, CA	C
10 Mission Ridge, Ladera Ranch, CA	B
** 52 Lots, Patterson, CA	D

\*\* For Assessor's Parcel Numbers for interests in Real Property shown above that are vacant land with no physical street address see the attached listing.

California Form 700 – Attachment to Schedule A-2 regarding Point Center Financial, Inc.

RE: Diane L. Harkey

**#4 Continued . . . Disposition of Assets previously reported**

This investment was originally secured by twenty (21) Lots. Four (4) Lots were SOLD in 2009. See #4 on Page 1.

Location of Property	Date of Disposition	Income Received
Lot #62, Tract 2525-1, Atascadero, CA	7/27/09	\$1,623.05
Lot #71, Tract 2525-1, Atascadero, CA	8/3/09	\$1,725.20
Lot #75, Tract 2525-1, Atascadero, CA	7/21/09	\$1,816.00
Lot #79, Tract 2525-1, Atascadero, CA	11/20/09	\$1,838.70

NOTE: In error the previous statement reflected "D" as the FAIR MARKET VALUE. This was a TYPO. It should have reflected "B". The current value of the remaining lots remains in category "B" as shown above.

The following two (2) real property investments were SOLD in 2009.

Location of Property	Date of Disposition	Income Received
455 16 <sup>th</sup> Street, San Diego, CA	11/5/09	\$132,250.00
926 Hearst Avenue, Berkeley, CA	3/12/09	\$90,000.00

Supplemental Information for Schedule A-2 Investments, Income and Assets of Business Entities/Trusts, Part 4

Description Submitted with Form 700	Assessor's Parcel Number(s)
14.22 Acres, Van Buren Street, Grand Terrace, CA	1167-151-22
391 Acres, Volta Road and I5, Los Banos, CA	078-200-057
	078-200-086
	078-200-087
	083-190-005
	083-210-042
	083-210-043
Calhoun and 54th, Coachella, CA	767-310-002
Winano and 7th, Victorville, CA	3090-071-01
	3090-071-03
	3090-091-01
	3090-091-02
	3090-091-03
26 Lots, Apple Valley, CA	3092-421-03
	3092-421-04
2 Lots, Phase 3, Ladera Ranch, CA	741-403-10
	741-403-11
Vacant Land, Beaumont, CA	421-070-001-5
	421-070-004-8
	421-070-005-9
	421-070-006-0
	421-070-007-1
	421-080-002-7
	421-190-002-7
	421-190-003-8
	421-190-004-9
	421-190-005-0
	421-190-006-1
	421-190-011-5
Jack Rabbit Trail and Hwy 60, Beaumont, CA	421-020-001-0
	421-020-005-4
	421-020-006-5
	421-030-003-3
	421-030-004-4
	421-030-005-5
	431-030-008-8
	421-030-010-9
52 Lots, Patterson, CA	025-010-007
	025-015-006